



## **JOINT AUDIT AND ETHICS COMMITTEE**

**MONDAY, 12<sup>TH</sup> DECEMBER 2022 COMMENCING AT 13:00  
AT ARTHUR SUITE, THE EXCHANGE,  
FOURTH FLOOR, COUNTY HALL, PRESTON**

**NB If you have any queries regarding the Agenda papers or required any further information please contact Steve Freeman on Tel: 01772.535259.**

## **AGENDA**

### **PART I (OPEN TO PRESS AND PUBLIC)**

- 13.00
1. Apologies for absence
  2. Constitutional matters
  3. Disclosure of members' interests

### **Audit Matters – Part 1 (open to press and public)**

- 13.05
4. Minutes of the Meeting held on 24<sup>th</sup> October 2022  
Minutes attached for confirmation.
- 13.15
5. Matters arising relating to audit issues  
To consider any matters arising from the Minutes.
- 13.20
6. Committee Members Reports  
Committee Members will provide verbal reports where appropriate.

- 13.25      **7.      External Audit – Update Report**
- Report attached with Appendices A and B– Gareth Winstanley
- 13.40      **8.      Internal Audit – Monitoring Report**
- Report attached with Appendices A and B - Laura Rix, Audit Manager, LCC
- 14.00      **9.      Urgent Business**
- None
- 10.     Date of future meetings**
- Monday, 13<sup>th</sup> March 2023

## **Audit Matters – Part II – PRIVATE AND CONFIDENTIAL**

**The following matters will be discussed in private as it involves the likely disclosure of exempt information as defined in the Freedom of Information Act 2000.**

**14.00      11.      HMICFRS Inspection Reports**

ChSupt Sam Mackenzie will provide a verbal report to the Committee.

Report also attached from Ian Dickinson with Appendix A

**14.15      12.      PCC Risk Register**

Report attached – Steve Freeman with Appendix A

**14.20      13.      Chief Constable Risk Register**

Report attached with Appendix A - Gillian Routledge

**Break until 14.45**

**Ethics Matters – Part 1 (open to press and public)**  
**(Please note: The Ethics session will commence at 14.45)**

- 14.45      **14. Minutes of the meeting held on 24<sup>th</sup> October 2022**  
Minutes attached for confirmation
- 14.50      **15. Matters relating to ethics issues**  
To consider any matters arising from the Minutes
- 14.55      **16. Update on new Ethics Committee**  
Steve Freeman will give a verbal update on the progress of this new Committee.
- 15.00      **17. Update on the Constabulary's Tactical Ethics Committee**  
Report attached with Appendices A and B - Weronika Wallis  
*(Appendix A was originally on the Agenda for the September 2022 meeting)*  
*(Appendix B will follow as the meeting takes place on Tuesday, 6<sup>th</sup> December)*
- 15.20      **18. Committee Members Reports**  
Two reports attached with Appendices A and B - Paul Richardson and David Jones regarding Grievance Audit are attached at Appendices A and B.  
*(Appendix A was originally on the Agenda for the September 2022 meeting)*
- 15.25      **19. OPCC Ethics Update**  
A verbal update will be provided – Steve Freeman
- 15.30      **20. Urgent Business**  
None

## **Ethics Matters – Part II – PRIVATE AND CONFIDENTIAL**

**The following matters will be discussed in private as it involves the likely disclosure of exempt information as defined in the Freedom of Information Act 2000**

**15.30 21. Key Issues Update**

Steve Freeman and Dan Rogers to provide a verbal update.

**15.40 22. Professional Standards Department**

Report attached at Appendix A relating to Business Interests - Detective Supt Zoë Mainey. *(This item was originally on the Agenda for the September 2022 meeting)*

**15.50 23. Professional Standards Department**

Report attached at Appendix B relating to Gifts & Hospitality - Detective Supt Zoë Mainey. *(This item was originally on the Agenda for the September 2022 meeting)*

**16.00 24. Professional Standards Department**

Report attached at Appendix C relating to Complaints Performance Report - Detective Supt Zoë Mainey. *(This item was originally on the Agenda for the September 2022 meeting)*

**Close of Meeting**