



## **JOINT AUDIT AND ETHICS COMMITTEE**

**MONDAY, 13<sup>TH</sup> JUNE 2022 COMMENCING AT 13:00  
AT JORDAN SUITE, THE EXCHANGE,  
FOURTH FLOOR, COUNTY HALL, PRESTON**

NB If you have any queries regarding the Agenda papers or required any further information please contact Steve Freeman on Tel: 01772.535259.

## **AGENDA**

### **PART I (OPEN TO PRESS AND PUBLIC)**

- 1. Apologies for absence**
- 2. Constitutional matters**
- 3. Disclosure of members' interests**

Members of the Committee are asked to consider any personal / prejudicial interests they may have to disclose to the meeting in relation to any matters under consideration on the Agenda in accordance with the law and the Police and Crime Commissioner's / Chief Constable's Scheme of Governance (including Financial Regulations / Standing Orders).

### **Audit Matters – Part I (Open to press and public)**

- 4. Minutes of the meeting held on 14<sup>th</sup> March 2022**

Minutes attached for confirmation.

- 5. Matters arising relating to audit issues**

To consider any matters arising from the Minutes.

**6. Committee Members Reports**

Committee Members will provide verbal reports where appropriate.

**7. External Audit – Finalised Audit Findings Report**

Report attached – Gareth Winstanley - with Appendix A.

**8. Internal Audit – Monitoring Report**

Report attached – Judith Taylor - with Appendix A.

**9. Internal Audit – Annual Report**

Report attached – Judith Taylor - with Appendix A.

**10. Internal Audit – Pensions Assurance Report**

Report attached – Judith Taylor - with Appendix A. – **Please note this report appears under Part II of this Agenda.**

**11. Joint Audit & Ethics Committee – Annual Report**

Report attached - Karol Sanderson

**12. Joint Audit & Ethics Committee – Review of Committee terms of reference**

A verbal update will be provided.

**13. Joint Audit & Ethics Committee – Review of Governance Framework Document**

Report attached – Angela Harrison/Ian Dickinson

**14. Urgent business**

None

**15. Dates of future meetings**

Monday, 12th September 2022

Monday, 12th December 2022

Monday, 13th March **2023**

## **Audit Matters – Part II – PRIVATE AND CONFIDENTIAL**

The following matters will be discussed in private as it involves the likely disclosure of exempt information as defined in the Freedom of Information Act 2000.

**16. HMICFRS Inspection Reports**

Chief Superintendent Stasia Osiowy will provide a verbal update.

**17. PCC Risk Register**

Report attached – with Appendix A - Steve Freeman.

**18. CC Risk Register**

Report attached – with Appendix A – Gillian Routledge

**19. Internal Audit – Pensions Assurance Report (moved from Part I)**

Report attached – Judith Taylor - with Appendix A.

## **Ethics Matters – Part I (Open to press and public)**

**(Please note the Ethics session will commence at 15.15)**

**20. Minutes of the meeting held on 14<sup>th</sup> March 2022**

Attached.

**21. Matters arising relating to ethics issues**

To consider any matters arising from the Minutes.

**22. Key Issues Update**

Steve Freeman and Dan Rogers to provide a verbal update.

**23. Update on the Constabulary's Tactical Ethics Committee**

Report attached – Chief Superintendent Stasia Osiowy.

**24. Dip-Sampling of Complaints**

A report by Paul Richardson and David Jones regarding Grievance Audit is attached.

**25. Case Sampling Protocol**

Report attached – with Appendix A – Ian Dickinson

**26. Committee Members Reports**

Members will provide verbal reports where appropriate.

**27. Joint Audit & Ethics Committee Workplan – Ethics**

Report attached for further consideration.

**28. Urgent business**

None

## **Ethics Matters - PART II – PRIVATE AND CONFIDENTIAL**

The following matters will be discussed in private as it involves the likely disclosure of exempt information as defined in the Freedom of Information Act 2000.

### **29. PSD – Case Studies**

Report attached - Detective Superintendent Zoë Mainey

**Angela Harrison**  
Chief Executive, OPCC

**Karol Sanderson**  
Chair, Joint Audit & Ethics Committee