



## **JOINT AUDIT AND ETHICS COMMITTEE**

**MONDAY, 14<sup>TH</sup> DECEMBER 2020 COMMENCING AT 13:00**  
**THIS WILL BE A CONFERENCE CALL MEETING**  
***(Dial-In details will be sent via email)***

NB If you have any queries regarding the Agenda papers or required any further information please contact Steve Freeman on Tel: 01772.535259.

## **AGENDA**

### **PART I (OPEN TO PRESS AND PUBLIC)**

- 1. Apologies for absence**
- 2. Constitutional matters**
- 3. Disclosure of members' interests**

Members of the Committee are asked to consider any personal / prejudicial interests they may have to disclose to the meeting in relation to any matters under consideration on the Agenda in accordance with the law and the Police and Crime Commissioner's / Chief Constable's Scheme of Governance (including Financial Regulations / Standing Orders).

### **Audit Matters – Part I (Open to press and public)**

- 4. Minutes of the meeting held on 12<sup>th</sup> October 2020**

Minutes attached for confirmation – Please note these Minutes contain Part II information.

- 5. Matters arising relating to audit issues**

To consider any matters arising from the Minutes.

- 6. External Audit – Audit Update**

Gareth Winstanley will update the Committee with a verbal report.

**7. External Audit – Audit Findings Report 2019-2020**

Gareth Winstanley will update the Committee with a verbal report.

**8. External Audit – Joint Annual Audit Letter 2020-2021**

Gareth Winstanley will update the Committee with a verbal report.

**9. Internal Audit – Monitoring Report**

Report attached – Judith Taylor – with Appendix A.

**10. Committee Members Reports**

Members will provide verbal reports where appropriate.

**11. Urgent business**

None.

**12. Dates of the next and future meetings**

The next meeting will take place on **Monday, 8<sup>th</sup> March 2021**. The Committee is asked to consider the following suggested dates for the 2021/2022 committee year:

Monday, 21<sup>st</sup> June 2021

Monday, 13<sup>th</sup> September 2021

Monday, 13<sup>th</sup> December 2021

Monday, 14<sup>th</sup> March 2022

## **Audit Matters – Part II – PRIVATE AND CONFIDENTIAL**

The following matters will be discussed in private as it involves the likely disclosure of exempt information as defined in the Freedom of Information Act 2000.

**13. HMICFRS Inspection Reports**

Superintendent Richard Robertshaw will provide a verbal update

**14. PCC Risk Register**

Report attached – Steve Freeman – with Appendix A

**15. Chief Constable's Risk Register**

Report attached – Ian Cosh – with Appendix A

## **Ethics Matters**

### **COMMENCING AT 15:00 – Part I (Open to press and public)**

**16. Minutes of the meeting held on 12<sup>th</sup> October 2020**

Minutes attached for confirmation.

**17. Matters arising relating to ethics issues**

To consider any matters arising from the Minutes.

**18. Key Issues Update**

Verbal updates will be provided by Steve Freeman and Ian Cosh.

**19. Update on the Constabulary's Tactical Ethics Committee**

Report to follow.

**20. Ethics Issues – TEC Workplan**

Report to follow.

**21. Committee Members Reports**

Members will provide verbal reports where appropriate.

**22. PSD Update**

Report attached – T/Detective Superintendent Zoë Mainey, Head of Professional Standards.

**23. OPCC Reviews - Update**

Report attached – Angela Harrison, Director, OPCC.

**24. Urgent business**

None

## **Ethics Matters - PART II – PRIVATE AND CONFIDENTIAL**

The following matters will be discussed in private as it involves the likely disclosure of exempt information as defined in the Freedom of Information Act 2000.

### **25. COVID-19 Enforcement - Key Ethical Issues Update**

Chief Superintendent Richard Robertshaw – Presentation attached.

.....  
**Angela Harrison**  
Chief Executive, OPCC

.....  
**Karol Sanderson**  
Chair, Joint Audit & Ethics Committee