



JOINT AUDIT AND ETHICS COMMITTEE

**MONDAY, 9TH DECEMBER 2019 COMMENCING AT 11:30
IN CABINET ROOM D, COUNTY HALL PRESTON**

NB If you have any queries regarding the Agenda papers or required any further information please contact Steve Freeman on Tel: 01772.535259.

AGENDA

1. **Apologies for absence**
2. **Constitutional matters**
3. **Disclosure of members' interests**
Members of the Committee are asked to consider any personal / prejudicial interests they may have to disclose to the meeting in relation to any matters under consideration on the Agenda in accordance with the law and the Police and Crime Commissioner's / Chief Constable's Scheme of Governance (including Financial Regulations / Standing Orders).

Audit Matters – Part I (Open to press and public)

4. **Minutes of the meeting held on 9th September 2019**
Minutes attached for confirmation.
5. **Matters arising relating to audit issues**
To consider any matters arising from the minutes.
6. **Committee Members Reports**
The Committee Members will provide verbal updates.
7. **External Audit – Audit Update**
Report attached.

8. Internal Audit – Monitoring Report

Report attached.

9. Dip-Sampling Protocol

Report attached

10. Governance Structure

Report attached

11. Feedback from the Training Day – 12th November 2019

Verbal input from attendees.

12. Urgent business

Audit Matters - PART II – PRIVATE AND CONFIDENTIAL

The following matters will be discussed in private as it involves the likely disclosure of exempt information as defined in the Freedom of Information Act 2000.

13. CC Risk Register

Report attached

14. PCC Risk Register

Report attached

15. HMICFRS Inspection Reports

Verbal update

Ethics Matters – Part I (Open to press and public)

16. Dip Sampling of Complaints

Report attached

17. Constabulary Ethics Report – Update

Report attached

18. PSD Update

Report attached

19. Urgent business

20. Outward Communication

The Committee is asked to consider if:

- a) there are any key messages arising from the meeting which should be sent to:
 - the press / public
 - local / regional or national bodies; and
- b) the Police and Crime Commissioner's / Constabulary's press / public relations teams should be asked to consider whether any consultation / research on any particular matters arising from the Meeting should be undertaken.

21. Dates of future meetings

Monday 9th March 2020

The format and timings for the meetings will be agreed once the business to be discussed is known and the Agendas have been finalised.

Ethics Matters - PART II – PRIVATE AND CONFIDENTIAL

The following matters will be discussed in private as it involves the likely disclosure of exempt information as defined in the Freedom of Information Act 2000.

Please note:

There are no items for consideration or discussion under Part II of the Ethics Business.

**Angela Harrison
Chief Executive, OPCC**

**Karol Sanderson
Chair, Joint Audit & Ethics Committee**